CORPORATE CLASS

# PURPOSE BEHAVIOURAL OPPORTUNITIES FUND

Purpose INVESTMENTS

## THE IDEA

Gain diversification and long-term growth potential through a unique multi-strategy approach that seeks to profit from irrational investor behaviour.

| | |
|---|---|
| ETF SERIES | **BHAV** |
| MGMT FEE | 0.75% |
| SERIES F | **PFC2801** |
| MGMT FEE | 0.75% |
| SERIES A | **PFC2800** |
| MGMT FEE | 1.75% |

**Performance Fee: 10%**

## MY PURPOSE

1. Diversify my portfolio and benefit from long-term capital appreciation
2. Use a unique, active strategy to profit from the emotional mistakes of other investors

## KEY HIGHLIGHTS

- Active, multi-strategy approach designed to profit from market inefficiencies and investors' predictable emotional mistakes
- Options can be used to implement strategies or for hedging purposes
- Portfolio tilted to long equity holdings, but can participate in short selling, up to 20% of NAV
- Tax-efficient corporate class structure

## INVESTMENT PROCESS

**Quantitative models**
- 7 independent investment strategies, each targeting a behavioural bias or market inefficiency, causing an investment to be mispriced
- Quant models generate potential trade ideas on a daily basis

**+ Humans**
- Trade ideas are vetted by the investment team looking for key characteristics that in the past have improved strategy efficacy
- Ensure diversification across strategies, sectors and geographies

**Trade parameters**
- Each trade is sized based on risk and underlying strategy
- Stop-loss and profit-taking levels are set to help avoid behavioural biases

**Monitor & measure**
- Continuous learning from trades that didn't work as well as those that did
- Characteristics of success help refine quantitative models and trade ideas

## SUBADVISOR

RICHARDSON Wealth
CONNECTED WEALTH

Richardson GMP Asset Management is a highly disciplined investment group with a specialty in quantitative investing methods. The team's systematic strategies provide low-correlation, alternative solutions for investors that complement core portfolios and help lower overall volatility.

## PORTFOLIO STATISTICS

AS OF JAN 29, 2021

| | |
|---|---|
| Number of Holdings | 17 |
| P/E Ratio | 6.86 × |
| P/B Ratio | 7.30 × |
| P/CF Ratio | 4.53 × |
| Dividend Yield (Gross) | 1.77% |
| Avg. Market Cap ($BIL) | 116.21 |

## PORTFOLIO BREAKDOWN

### TOP HOLDINGS

AS OF JAN 29, 2021

| | |
|---|---|
| Chipotle Mexican Grill Inc | 6.50% |
| Spotify Technology Sa | 5.53% |
| Aphria Inc. | 5.34% |
| Canadian National Railway Company | 5.34% |
| Shopify Inc. | 4.88% |
| Exxon Mobil Corp | 4.13% |
| The Bank of New York Mellon Corp | 4.02% |
| Rogers Communications Inc., Class "B'" | 3.96% |
| Celestica Inc., Sv | 3.92% |
| Wells Fargo & Co | 3.80% |
| Freeport-McMoRan Inc | 3.78% |
| PulteGroup Inc | 3.63% |
| Splunk Inc. | 3.62% |
| United Continental Holdings Inc | 3.51% |
| Tesla Inc. | 3.48% |
| Boeing Co | 3.35% |
| Discover Financial Services | 3.30% |

## PERFORMANCE

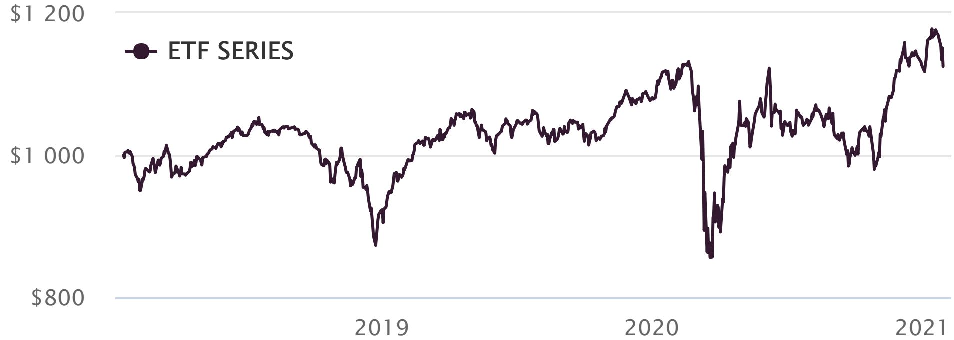
AS OF JAN 29, 2021

INCEPTION DATE: JAN 17, 2018

| SERIES | 1 MONTH | 3 MONTH | 6 MONTH | YTD | 1 YEAR | 3 YEAR | SINCE INCEPTION |
|---|---|---|---|---|---|---|---|
| ETF | -0.18% | 13.61% | 7.08% | -0.18% | 1.77% | 4.07% | 3.96% |
| F | -0.17% | 13.61% | 7.08% | -0.17% | 1.78% | 4.13% | 4.02% |
| A | -0.26% | 13.31% | 6.51% | -0.26% | 0.71% | 2.94% | 2.83% |

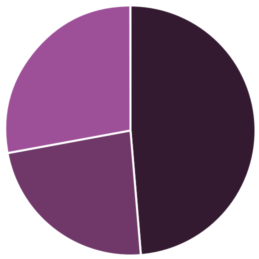
## GROWTH OF $1,000

AS OF JAN 29, 2021




## GEOGRAPHIC BREAKDOWN

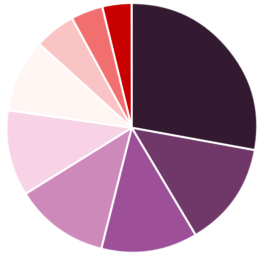
AS OF JAN 29, 2021



UNITED STATES 48.68%
CASH 27.88%
CANADA 23.44%

## SECTOR BREAKDOWN

AS OF JAN 29, 2021



CASH 27.88%
CONSUMER DISCRETIONARY 13.61%
INFORMATION TECHNOLOGY 12.42%
INDUSTRIALS 12.20%
FINANCIALS 11.13%
COMMUNICATION SERVICES 9.50%
HEALTH CARE 5.34%
ENERGY 4.13%
MATERIALS 3.78%



Purpose
INVESTMENTS

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